

AO 120 (Rev. 3/04)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Columbia on the following ☒ Patents or ☐ Trademarks:

DOCKET NO. 09cv1201	DATE FILED 6/30/2009	U.S. DISTRICT COURT for the District of Columbia
PLAINTIFF NOVARTIS VACCINES AND DIAGNOSTICS, INC. 4560 Horton Street Emeryville, CA 94608-2916		DEFENDANT JOHN J. DOLL P.O. Box 15667 Alexandria, VA 22215
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 7,470,709	12/30/2008	NOVARTIS VACCINES AND DIAGNOSTICS, INC.
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3		
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In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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3			
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK Greg Hughes, Interim Clerk	(BY) DEPUTY CLERK /s/ Nicole Wilkens	DATE 12/4/2009
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy